

UTILITY PATENT



B&D No. TN1444

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of **Roger Q. SMITH**

Serial No.: **09/153,621**

Examiner: **T.Dinh**

Filed: **September 15, 1998**

Group Art Unit **2841**


For: **HEAVY-DUTY AUDIO EQUIPMENT**

Assistant Commissioner for Patents  
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT**

I, Adan Ayala, Reg. No. 38,373, certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington DC 20231 on

Nov. 15, 2000

  
Adan Ayala

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Dear Sir:

In compliance with 37 CFR §§1.56, 1.97(c) and 1.98, Applicant hereby submits a copy of the European Patent Office search report for the priority documents and requests that the documents listed on the attached form PTO-1449 be made of record in the above-identified application. Copies of these documents are enclosed herein.

In particular, the EPO examiner cited US Patents Nos. 4,709,201, 5,680,026 and 5,606,241, and European Patent Document No. EP 0 310 717 A and EP 0 920 062 A as "particularly relevant if combined with another document of the same category" (Category Y).

**UTILITY PATENT**

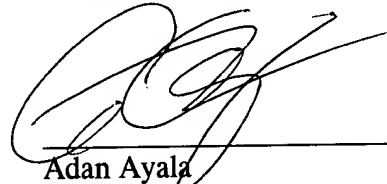
**B&D No. TN1444**

Each item of information contained in the present information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the present information disclosure statement.

No fee is believed due. Nevertheless, the Commissioner is authorized to charge payment of any fees due in processing this statement, or credit any overpayment to Deposit Account No. 02-2548.

To discuss any aspect of this disclosure statement, or any other aspects of this application, the Examiner should call the undersigned Applicant's representative.

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'Adan Ayala', written over a horizontal line.

Adan Ayala  
PTO Reg. No. 38,373  
Attorney for Applicant

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.:

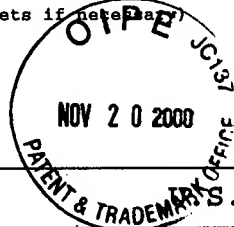
TN-1444

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09/153,621

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



APPLICANT:

Roger Q. Smith

FILING DATE: September 15, 1998

GROUP: 2841

## S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
J		4	7	0	9	2	0	1	11/24/87	H.A. Schaefer, et al.	—	—	—
J		5	6	0	6	2	4	1	2/25/97	J. Patino, et al.	—	—	—
		5	6	8	0	0	2	6	10/21/97	W.K. Lueschen	—	—	—

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
TD			3	1	0	7	1	7	4/12/89	Europe	—	—		
TD			9	2	0	0	6	2	6/2/99	Europe	—	—		

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		European Search Report for European Application No. 99307059

EXAMINER

TUAN DINH

DATE CONSIDERED

3/7/01

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.